



Docket No.: 49657-586

Receipt
CPA
#1
PATENT *Banned*
10/23/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Yuichi KUNORI

Serial No.: 09/469,497

Filed: December 22, 1999

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Group Art Unit: 2187

Examiner: J. Song

RECEIVED

OCT 18 2002

Technology Center 2100

For: MULTILEVEL STORAGE NONVOLATILE SEMICONDUCTOR MEMORY DEVICE
ENABLING HIGH-SPEED DATA READING AND HIGH-SPEED DATA WRITING

SECOND REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Washington, DC 20231

Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that the CPA filed on date is incorrect on the Official Filing Receipt. Attached is a copy of the Return Postcard and Transmittal Letter, which evidences that the CPA filing date should appear as: 11/27/2001

It is requested that a corrected filing receipt be issued.

Respectfully submitted,

MCDERMOTT, WILL & EMERY,

Gene Z. Robinson

Registration No. 33,351

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(202)756-8000 GZR:jgh
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Date: October 11, 2002

CONTINUED PROSECUTION APPLICATION (CPA)
REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

Commissioner for Patents
Box CPA
Washington, DC 20231

Attorney Docket No.: 49657-586
First Named Inventor: Yuichi KUNORI
Examiner: J. Song
Group Art Unit: 2187

This is a request for a Continuation application under 37 CFR 1.53(d).
(continued prosecution application (CPA)) of prior application number 09/469,497, filed on December 22, 1999,
entitled MULTILEVEL STORAGE NONVOLATILE SEMICONDUCTOR MEMORY DEVICE ENABLING HIGH-
SPEED DATA READING AND HIGH-SPEED DATA WRITING.

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b) and filed on or after June 8, 1995, or (2) the national state of an international application in compliance with 35 USC 371 and filed on or after June 8, 1995.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 USC 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 USC 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 USC 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

1. ☐ Enter the unentered amendment previously filed on under 37 CFR 1.116 in the prior nonprovisional application.
2. ☐ A preliminary amendment is enclosed
3. This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:
 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. Information Disclosure Statement (IDS) is enclosed:
 - a. ☐ PTO-1449
 - b. ☐ Copies of IDS Citations

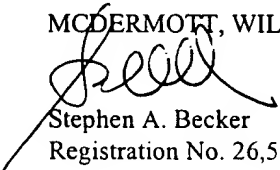
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	AMOUNT
Total Claims	12	-20	0	\$18.00 =	\$0.00
Independent Claims	1	-3	0	\$84.00 =	\$0.00
Basic Application Fee					\$740.00
If multiple dependent claims are presented, add \$0.00					\$0.00
Total Application Fee					\$740.00
Petition for Three Month Suspension of Action					\$130.00
TOTAL APPLICATION FEE DUE					\$870.00
AMOUNT TO BE CHARGED TO DEPOSIT ACCOUNT NO. 500417					\$870.00

6. Small entity status:
- a. ☐ A small entity statement is enclosed.
 - b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
 - c. ☐ Is no longer claimed
7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 500417;
- a. ☒ Fees required under 37 CFR 1.16.
 - b. ☒ Fees required under 37 CFR 1.17.
8. To the extent necessary, a petition for an extension of time under 37 CFR 1.136 is hereby made. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.
9. ☒ Suspension of action of the above-identified application is requested under 37 C.F.R. § 1.1.03(c) for a period of three (3) months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
10. Additional Attachments:
- a. ☐ Petition For Extension of Time
 - b. ☐ Other:

NOTE: The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

Respectfully submitted,

MCDERMOTT, WILL & EMERY


 Stephen A. Becker
 Registration No. 26,527

600 13th Street, N.W.
 Washington, DC 20005-3096
 (202) 756-8000 SAB:cms
Date: November 27, 2001
 Facsimile: (202) 756-8087

Applicant: **Yuichi KUNORI** Docket No. **49657-586**

Title/Mark: **MULTILEVEL STORAGE NONVOLATILE SEMICONDUCTOR**

Serial/Reg./Patent No. **09/469,497**

Date Sent: **11/27/01** ☒ Hand Carried ☐ Fax ☐ Electronic ☐ Cert. of Mailing ☐ Express Mail No. _____

☐ Transmittal Letter

☐ New Patent App ☐ Utility ☐ Design ☐ Cont. ☐ CIP ☐ Div. ☐ PCT ☒ CPA ☐ RCE ☐ Prov

☐ Other: _____

_____ pages of Specification

_____ pages of Claims

_____ pages of Abstract

_____ pages of Formal/Informal Drawings

☐ Information Disclosure Statement

Form PTO 1449

_____ copies of cited references

☐ Preliminary Amendment

☐ Response to Missing Parts Notice

☐ Certified Copy of Priority Doc

☐ Claim for Convention Priority

☐ Verified Statement of Small Entity Status

☐ Transmittal of Issue Fee: Form PTO 85b

☐ Maintenance Fee for _____ years after grant

☐ Notice of Appeal ☐ Appeal Brief

☐ Recordation of _____ Patent _____ Trademark

☐ Response/Amendment to Office Action of _____

☒ Other ☐ Petition for three month suspension of action

Assignments/Security Agreements/ _____ Petition to Commissioner for _____

Check for \$ _____ ☒ Charge Deposit Acct. 500417 \$ **870.00** Atty Init. **SAB** Tkpr. # **4233** Secy. or PL: **CM. Shiflett**

CMS Descip.: **#1 = \$740.00** **#16 = \$130.00**

THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS, CHECKED ABOVE, WERE RECEIVED BY THE PTO ON THE DATE STAMPED.

